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412-741-9292

T-476 P003/004 F-110

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Stanley D. Ference III (Depositor's name)
[Signature] (Signature)
January 5, 2007 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/863,920	05/23/2001	Jean-Louis Blanchard	FR919990071US1	1618

TITLE OF INVENTION: SYSTEM AND METHOD FOR STOCK OPTIONS MARKET MANAGEMENT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	01/05/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
FELTEN, DANIEL S	3624	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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1 Ference & Associates

2

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

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(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

International Business Machines Corporation Armonk, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Date January 5, 2007

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USPTO FACSIMILE COVER SHEET

To: Commissioner for Patents
Fax Number: 571-273-2885
Date: January 5, 2007
Pages: 4 pages (including this cover sheet)

MESSAGE:

Application No. 09/863,920

Fee Address Transmittal
Issue Fee Transmittal (in duplicate)

Docket Number FR919990071US1 (590.106)

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